



#3

BOX MISSING PARTS
PATENT
32860-000261/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Gunter RIES

Application No.: **10/033,745**

Filed: December 27, 2001

For: FLUX PUMP HAVING A HIGH-TEMPERATURE SUPERCONDUCTOR
AND A SUPERCONDUCTING ELECTROMAGNET WHICH CAN BE
OPERATED BY WAY OF THE FLUX PUMP

THIS IS NOT A NEW PATENT APPLICATION
LETTER SUBMITTING DOCUMENTS
FOR COMPLETION OF AN APPLICATION
PURSUANT TO 35 U.S.C. §371

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

May 16, 2002

Sir:

The application papers for the above-identified application were originally filed on December 27, 2001 and the application was assigned Application No. 10/033,745.

☒ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration of the inventor(s) (☒ original ☐ facsimile copy), necessary for completing the filing requirements in connection with the above-identified application.

☐ Under the provisions of 37 C.F.R. §§ 1.41(c) and 1.53(f), attached hereto is the executed Declaration that was attached to the specification at the time of execution. The attached specification is a true copy of the specification that was filed in the U.S. Patent and Trademark office on , including any amendments thereto (if applicable) filed on even date therewith.

☒ The undersigned hereby declares that the attached Declaration corresponds to Application No. 10/033,745 filed on December 27, 2001 entitled "FLUX PUMP HAVING A HIGH-

TEMPERATURE SUPERCONDUCTOR AND A SUPERCONDUCTING ELECTROMAGNET WHICH CAN BE OPERATED BY WAY OF THE FLUX PUMP.”

- ☐ Attached are _____ (____) sheet(s) of formal drawings.
- ☒ Attached is a copy of the Notice to File Missing Requirements of Nonprovisional Application.

The Government Filing Surcharge (37 C.F.R. § 1.16(e)) and the basic Government Filing Fee (37 C.F.R. § 1.16(a)-(d)) (if applicable) is attached hereto and calculated as follows:

- ☐ Filing Fee \$0.00
- ☒ Surcharge (☒ Large Entity - \$130.00;
 ☐ Small Entity - \$ 65.00)
- ☐ Attached hereto is a Statement Claiming Small Entity Status (☐ original ☐ photocopy).
- ☒ Submitted concurrently herewith **under separate cover** for recording is an Assignment.

☒ No extension fee is required because the undersigned has filed the documents within the allotted time given by the U.S. Patent and Trademark Office. However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Application No. 10/033,745
Docket No.: 32860-000261/US

☐ Applicant hereby respectfully petitions for a () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

☒ A check in the amount of \$130.00 to cover the basic filing fee, surcharge fee, and any extension of time fees (if applicable) is enclosed.

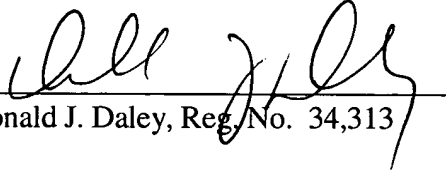
☐ Please charge Deposit Account No. 08-0750 in the amount of \$0.00. A triplicate copy of this transmittal form is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKY & PIERCE, P.L.C.

By


Donald J. Daley, Reg. No. 34,313

P.O. Box 8910
Reston, VA 20195
(703) 390-3030

Attachments



Receipt
#2

PATENT
32860-000261/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Gunter RIES
Application No.: 10/033,745
Filed: December 27, 2001
For: FLUX PUMP HAVING A HIGH-TEMPERATURE SUPERCONDUCTOR
AND A SUPERCONDUCTING ELECTROMAGNET WHICH CAN BE
OPERATED BY WAY OF THE FLUX PUMP

LETTER REQUESTING CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Washington, DC 20231

May 16, 2002

Sir:

The Official Filing Receipt mailed March 20, 2002 (copy attached herewith) does not reflect the residence of the sole inventor. The corrections to be made are as follows:

Applicant(s)

Gunter Ries, Erlangen, Germany

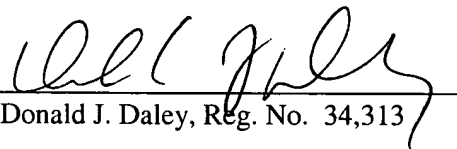
Applicants respectfully request issuance of a corrected Official Filing Receipt.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment of Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C

By


Donald J. Daley, Reg. No. 34,313

P.O. Box 8910
Reston, VA 20195
(703) 390-3030

Attachment



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/033,745	12/27/2001	3746	938	32860-000261/US	5	31	3

CONFIRMATION NO. 8997

FILING RECEIPT



OC000000007682058

30596
HARNES, DICKEY & PIERCE, P.L.C.
P.O. BOX 8910
RESTON, VA 20195

Date Mailed: 03/20/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

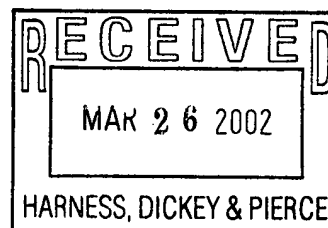
ERLANGEN, GERMANY

Gunter Ries, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

GERMANY DE 10065420.7 12/27/2000



If Required, Foreign Filing License Granted 03/20/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

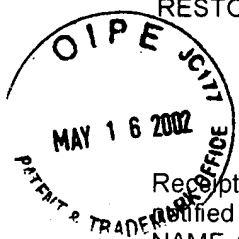
Early Publication Request: No

Title

Flux pump having a high-temperature superconductor and a superconducting electromagnet
which can be operated by way of the flux pump

Preliminary Class

417



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

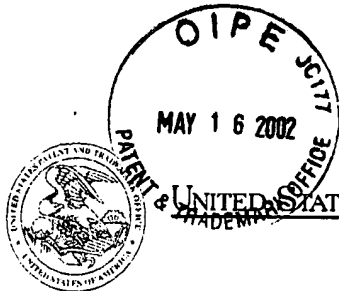
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/033,745	12/27/2001	Gunter Ries	32860-000261/US

30596
HARNES, DICKEY & PIERCE, P.L.C.
P.O. BOX 8910
RESTON, VA 20195

CONFIRMATION NO. 8997

FORMALITIES LETTER



OC000000007682059

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/17/2002 ZJUNAR1 00000032 10033745

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130.00 DP